

sector 17

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/880,430

Filing Date 06/13/01

First Named Inventor Robert K. Pliha

Group Art Unit 2162

Examiner Name (not assigned)

Total Number of Pages in This Submission

Attorney Docket Number 47423/252726

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/  
Incomplete Application
- ☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

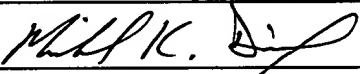
- ☒ Assignment Papers  
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication  
to Group
- ☐ Appeal Communication to Board  
of Appeals and Interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please  
identify below):

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Michael K. Dixon, Reg. No. 46,665  
KILPATRICK STOCKTON LLP


Signature 

Date 09/21/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as certified first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on this date: 09/21/01

Typed or printed name Janie Wilkins

Signature  Date 09/21/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICANT: Robert K. Pliha

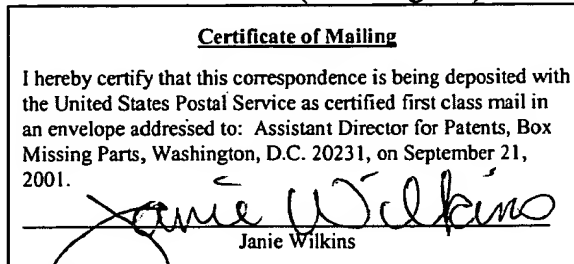
SERIAL NO.: 09/880,430

GROUP ART UNIT: 2162

FILED: June 13, 2001

EXAMINER: (not assigned)

FOR: SYSTEMS AND METHODS FOR  
DISTRIBUTING TARGETED  
INCENTIVES TO FINANCIAL  
INSTITUTION CUSTOMERS



ATTORNEY DOCKET NO.: 47423/252726

Assistant Director for Patents  
Box Missing Parts  
Washington, D.C. 20231

DATE: September 21, 2001

RESPONSE TO NOTICE OF MISSING PARTS

Sir:

This paper is submitted in response to the "Notice to File Missing Parts of Nonprovisional Application" mailed on August 10, 2001. In response, executed Declaration for Patent Application (Exhibit A), and a copy of the Notice to File Missing Parts of Nonprovisional Application (Exhibit B), a check for \$105.00, \$65.00 of which is to cover the surcharge are being submitted herein. No other fee is believed to be due, however, the Commissioner is hereby authorized to charge any deficiency, or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,

Michael K. Dixon  
Registration No. 46,665  
Attorney for Assignee

OF COUNSEL:  
KILPATRICK STOCKTON LLP  
1100 Peachtree Street, Suite 2800  
Atlanta, Georgia 30309-4530  
(404) 815-6199

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# FEE TRANSMITTAL for FY 2001

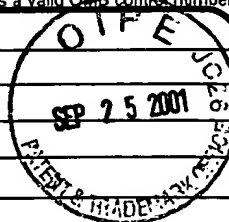
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 105

## Complete if Known

Application Number	09/880,430
Filing Date	06/13/01
First Named Inventor	Robert K. Pliha
Examiner Name	
Group Art Unit	2162
Attorney Docket No.	47423/252726



METHOD OF PAYMENT		FEE CALCULATION (continued)	
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 11-0855 Deposit Account Name: KILPATRICK STOCKTON LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b> Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
<b>2.</b> <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65 Surcharge - late filing fee or oath 65	
<b>FEE CALCULATION</b>		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
<b>1. BASIC FILING FEE</b> Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 710 201 355 Utility filing fee		147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination	
106 320 206 160 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 490 207 245 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 710 208 355 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 150 214 75 Provisional filing fee		116 390 216 195 Extension for reply within second month	
SUBTOTAL (1) (\$)		117 890 217 445 Extension for reply within third month	
<b>2. EXTRA CLAIM FEES</b>		118 1,390 218 695 Extension for reply within fourth month	
Total Claims <input type="text"/> Extra Claims <input type="text"/> Fee from below <input type="text"/> Fee Paid <input type="text"/>		128 1,890 228 945 Extension for reply within fifth month	
Independent Claims <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		119 310 219 155 Notice of Appeal	
Multiple Dependent <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		120 310 220 155 Filing a brief in support of an appeal	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		121 270 221 135 Request for oral hearing	
103 18 203 9 Claims in excess of 20		138 1,510 138 1,510 Petition to institute a public use proceeding	
102 80 202 40 Independent claims in excess of 3		140 110 240 55 Petition to revive - unavoidable	
104 270 204 135 Multiple dependent claim, if not paid		141 1,240 241 620 Petition to revive - unintentional	
109 80 209 40 ** Reissue independent claims over original patent		142 1,240 242 620 Utility issue fee (or reissue)	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		143 440 243 220 Design issue fee	
SUBTOTAL (2) (\$ 0		144 600 244 300 Plant issue fee	
*or number previously paid, if greater; For Reissues, see above		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties) 40	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 105	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Michael K. Dixon	Registration No. (Attorney/Agent)	46,665
Signature		Telephone	(404) 815-6619
		Customer No.	23370
		Date	09/21/01

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/880,430	06/13/2001	Robert K. Pliha	47423/252726

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309



CONFIRMATION NO. 5403

## FORMALITIES LETTER



\*OC00000006411737\*

Date Mailed: 08/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/27/2001 MWOLDR1 00000134 09880430

01 FC:205

65.00 0P